



**BaBar**

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# New BaBar Results on Rare Leptonic B Decays

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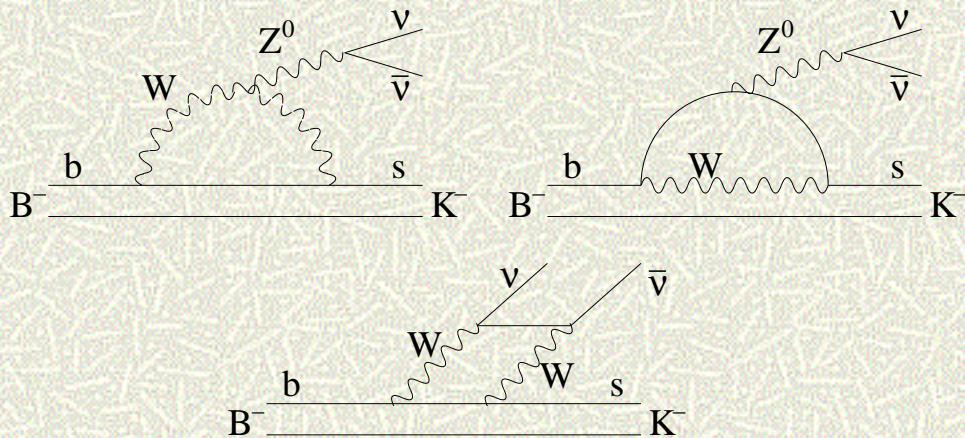
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- Motivation to look for  $B^0 \rightarrow \ell^+ \ell^-$  and  $B^- \rightarrow K^- \nu \bar{\nu}$
- Analysis Strategy
- Measurement Criteria
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- Systematics
- Results



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## Motivation $B^- \rightarrow K^-\nu\bar{\nu}$



Standard Model Feynman diagrams for  
 $B^- \rightarrow K^-\nu\bar{\nu}$

- SM prediction  
 $\mathcal{B}(B^- \rightarrow K^-\nu\bar{\nu}) = 3.8^{+1.2}_{-0.6} \times 10^{-6}$
- CLEO best limit  
 $\mathcal{B}(B^- \rightarrow K^-\nu\bar{\nu}) < 2.4 \times 10^{-4}$

- The second largest BR for rare B - decays involving leptons is final state
- Only one operator in SM
- Theoretically clean

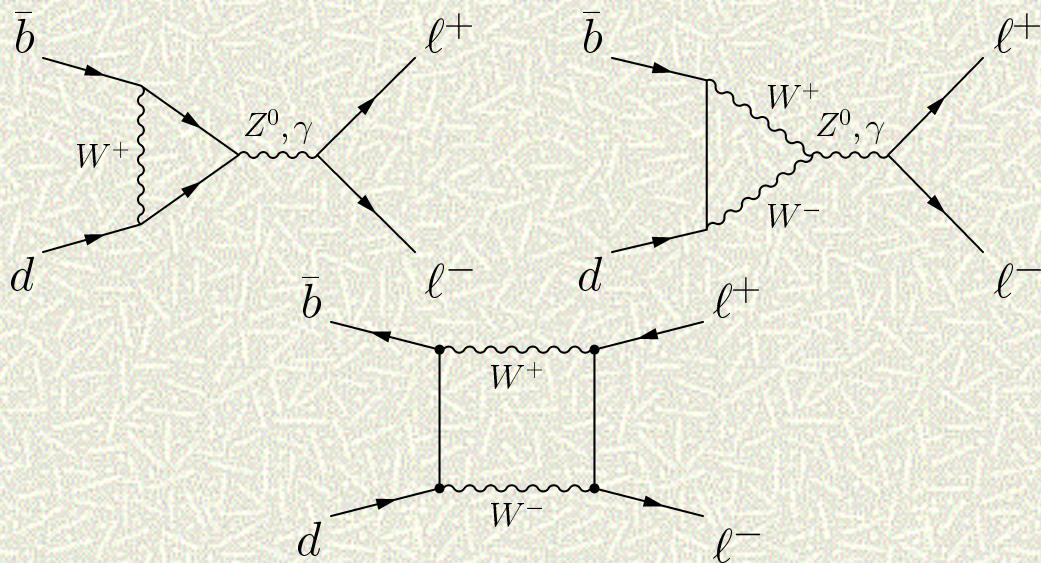
Enhancements from  
beyond the SM:

- Fourth generation
- Extra vector-like down quark
- SUSY violating R-parity
- FCNC  $Z'$



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## Motivation $B^0 \rightarrow \ell^+ \ell^-$



Standard Model Feynman diagrams for  $B^0 \rightarrow \ell^+ \ell^-$

- $\mathcal{B}(B^0 \rightarrow e^+ e^-) \sim 10^{-15}$
- $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) \sim 10^{-10}$
- $\mathcal{B}(B^0 \rightarrow e^+ \mu^-)$   
just allowed due to  $\Delta m_{\nu_i} \neq 0$

Enhancements from beyond the SM:

- MHDM with NFC and large  $t g \beta$
- Extra vector-like down quark
- MSSM (large  $t g \beta$ )
- Leptoquark
- SUSY violating R-parity



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## Analysis Strategy for $B^- \rightarrow K^-\nu\bar{\nu}$

- Tag one B,  $B^+ \rightarrow \overline{D}^0 \ell^+ \nu(X)$

$\overline{D}^0$ modes	$D^*$ modes
$\overline{D}^0 \rightarrow K^+ \pi^-$	$D^{*0} \rightarrow \overline{D}^0 \gamma$
$\overline{D}^0 \rightarrow K^+ \pi^- \pi^- \pi^+$	$D^{*0} \rightarrow \overline{D}^0 \pi^0$
$\overline{D}^0 \rightarrow K^+ \pi^- \pi^0$	$D^{*-} \rightarrow \overline{D}^0 \pi^-$

- Remove the daughters of the tagged B from the event
- Veto events with more than one charged track
- Attribute the remaining particles with the signature expected from  $B^- \rightarrow K^-\nu\bar{\nu}$
- No background subtraction applied for UL

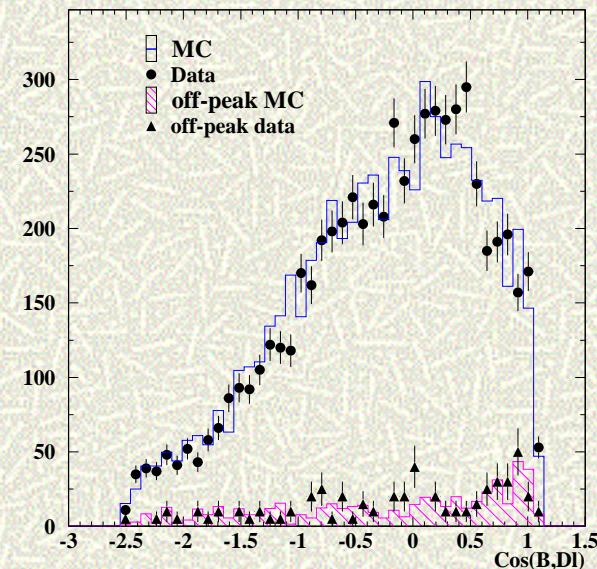
This method yield 0.5% of  $B^+$  decays reconstructed as tags  
The tagging efficiency was corrected using a double tags sample.

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## Measurement Criteria for Tagging

$$B^+ \rightarrow \bar{D}^0 \ell^+ \nu(X)$$

- Require one lepton with  $P^* > 1.3 \text{ GeV}$
- Loose consistency on  $\bar{D}^0$  and  $\bar{D}^0 \ell^+ \text{ Vtx}$
- $P_{\bar{D}^0}^* > 0.5 \text{ GeV}$
- $M_{\bar{D}^0 \ell^+} > 3 \text{ GeV}$
- $-2.5 < \cos(\theta_{B,D\ell}) < 1.1$
- $N_{tracks} \leq 3$
- $E_{neutral} < 1 \text{ GeV}$



$$\cos \theta_{B D\ell} \equiv \frac{2 E_B E_{D\ell} - m_B^2 - m_{D\ell}^2}{2 |\vec{p}_B| |\vec{p}_{D\ell}|}$$

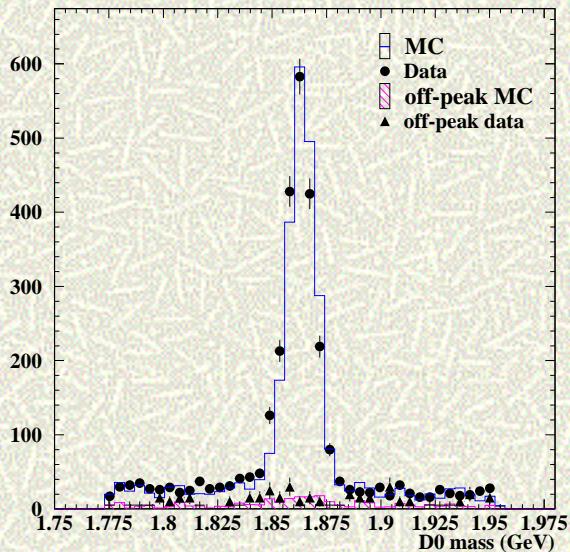
where  $E_B$  and  $|\vec{p}_B|$  in  $\Upsilon(4S)$  frame.



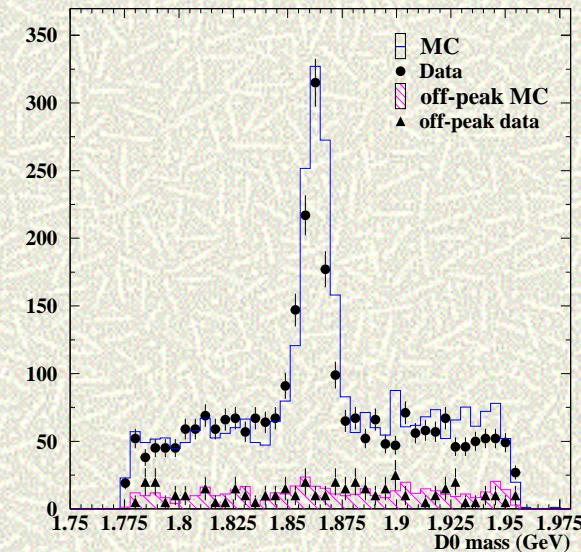
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## Semi-exclusive $\bar{D}^0$ Mass Reconstruction

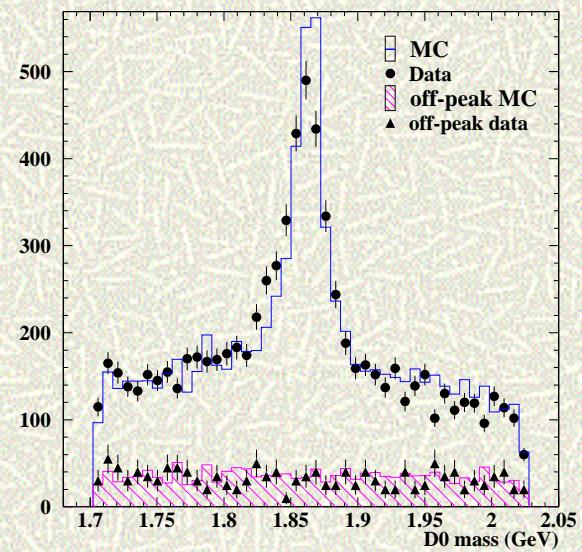
$\bar{D}^0 \rightarrow K^+ \pi^-$



$\bar{D}^0 \rightarrow K^+ \pi^- \pi^- \pi^+$



$\bar{D}^0 \rightarrow K^+ \pi^- \pi^0$

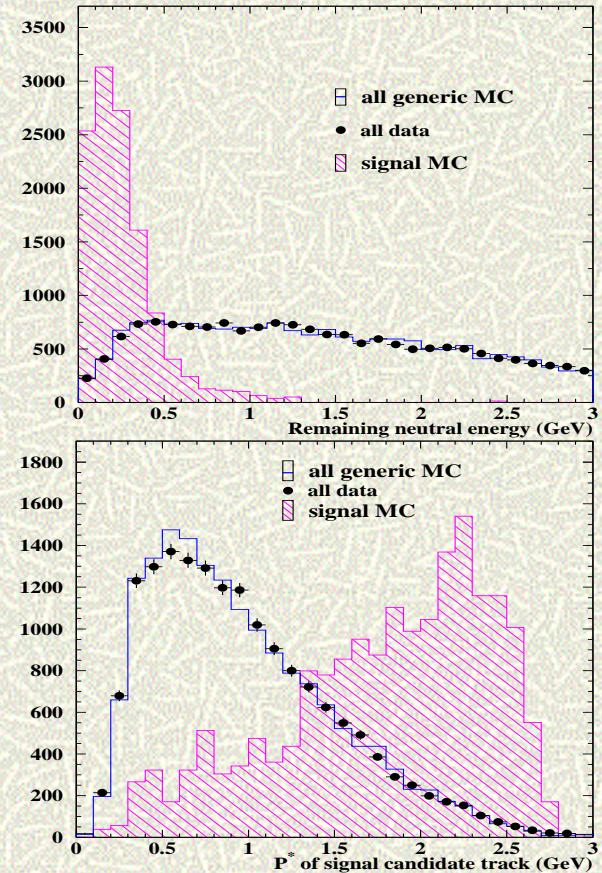


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## Measurement Criteria for the Signal

$$B^- \rightarrow K^- \nu \bar{\nu}$$

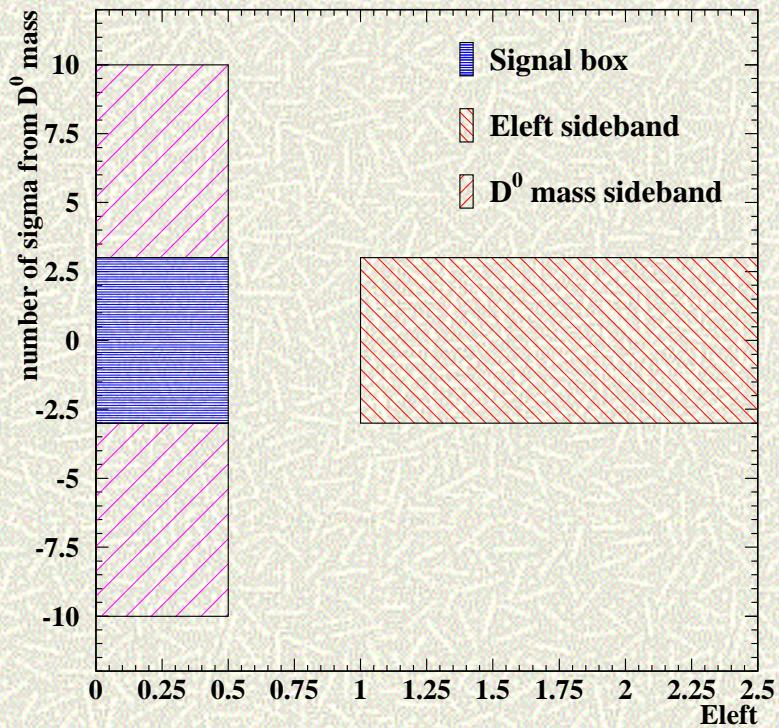
- One Kaon with charge opposite to the tagged lepton
- $P_{K^+}^* > 1.5 \text{ GeV}$
- $-0.9 < \cos \theta_{K\ell} < 0.8$
- $N_{IFR} = 0$  clusters consistent with coming from a neutral hadron
- $E_{neutral} < 0.5 \text{ GeV}$





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## Signal and Sideband Definition

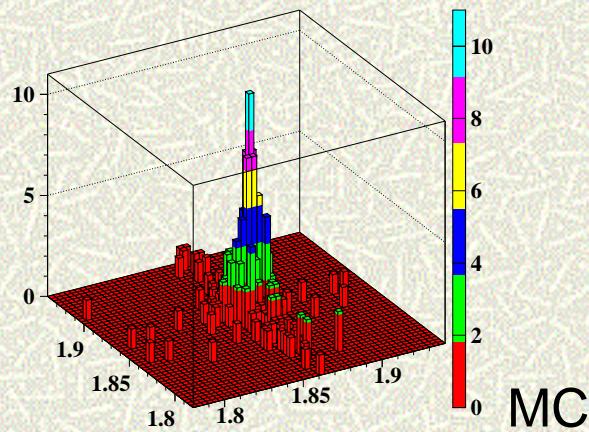


- Signal box
  - $E_{\text{left}} < 0.5 \text{ GeV}$
  - $(m_D - m_D^{\text{fit}}) < 3\sigma_D^{\text{fit}}$
- $E_{\text{left}}$  and  $D^0$  mass Sideband
  - $1. < E_{\text{left}} < 2.5 \text{ GeV}$
  - $(m_D - m_D^{\text{fit}}) > 3\sigma_D^{\text{fit}}$



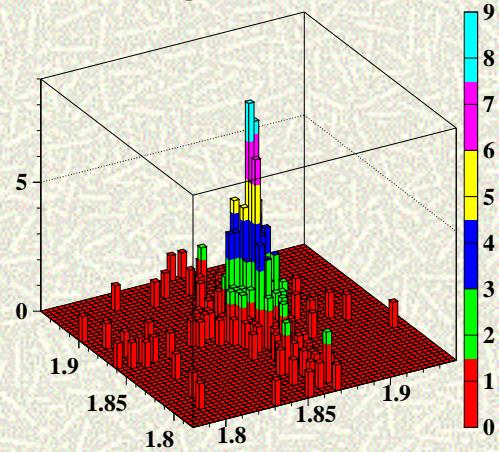
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## Systematics and Efficiency Correction



$M(D^0)$  vs  $M(K\pi)$ , simulation

DATA



$M(D^0)$  vs  $M(K\pi)$ , on-peak data

- Tag  $B^+ \rightarrow \overline{D^0}(K\pi)\ell^+\nu X$  and the other to  $B^- \rightarrow D^0 \ell^-\bar{\nu}$
- Use double-tags to extract a correction to the efficiency calculated from the signal MC.
- Double tag yield:
  - In data  $148 \pm 15$
  - In MC  $175 \pm 16$
- Rate in data is 0.85 the rate in simulation
- $\epsilon_{signal}$  is corrected by  $0.92 \pm 0.06$  where the error is taken as the systematic



## Systematic Errors

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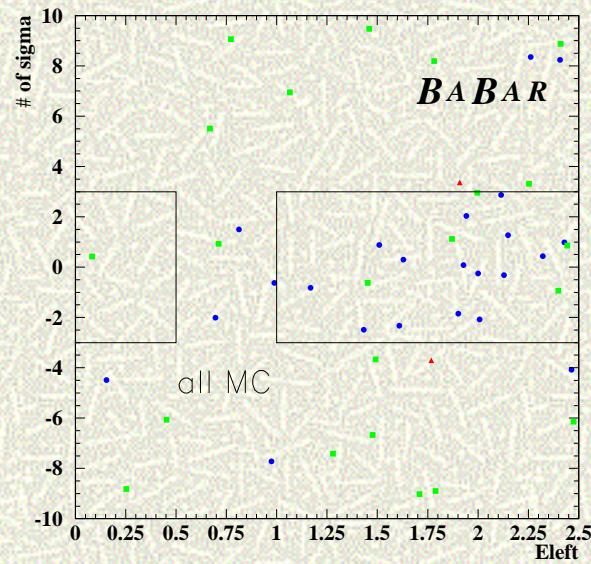
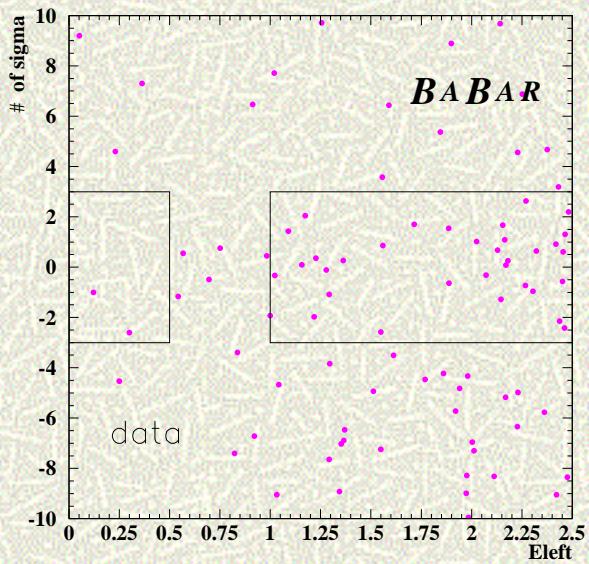
Quantity	$\delta\varepsilon/\varepsilon [\%]$
B -counting	1.1
tagging efficiency	6.0
Kaon momentum	1.8
Kaon selection	2.0
$E_{left}$	4.3
$N_{IFR}$	3.6
Total	8.7

The resulting overall signal efficiency is 0.1%



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## Unblinded Results



$B\bar{B}/C\bar{C}/UDS$  MC should be scaled with 1.09/2.21/3.56 to on-peak lumi

The UL for  $50 \text{ fb}^{-1}$  with 90% CL for  $B^- \rightarrow K^- \nu \bar{\nu}$  is:

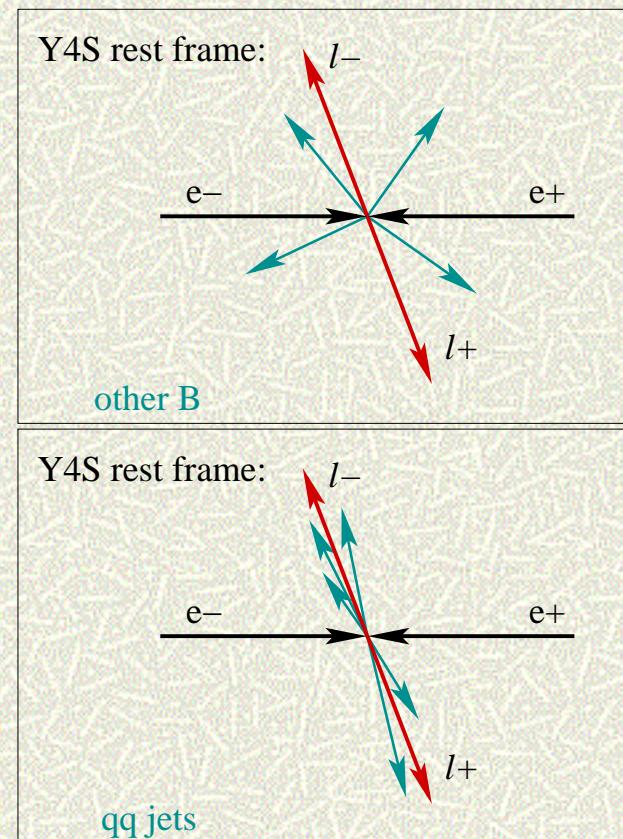
$$BR(B^- \rightarrow K^- \nu \bar{\nu}) < 9.4 \times 10^{-5}$$



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## Analysis Strategy $B^0 \rightarrow \ell^+ \ell^-$

- Reconstruct the signal  $B$  with two high momentum leptons
- Apply cuts to suppress the background
- Estimate the background in signal box
  - Fit the data in the sidebands
  - Normalization from data
- No background subtraction applied for Upper Limit





## Measurement Criteria

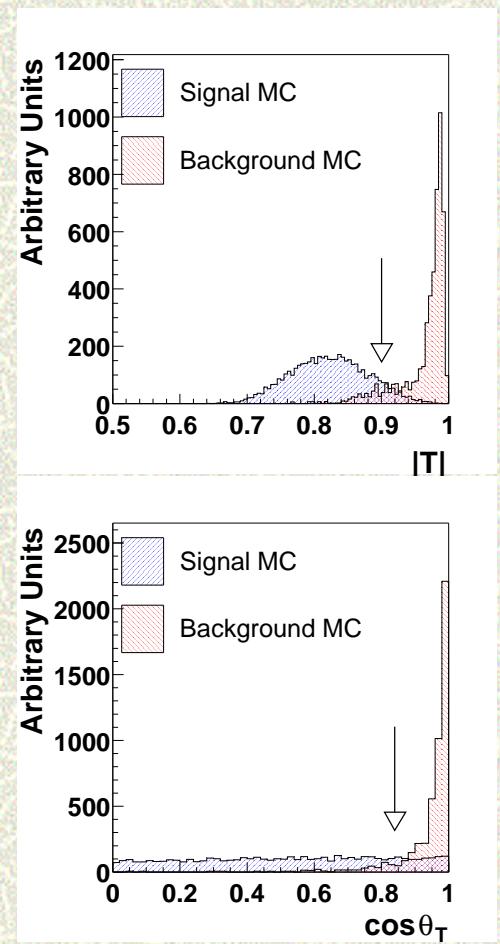
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- Event Preselection
  - $N_{trk} \geq 3$  in drift chamber
  - $R_2 \leq 0.98$
  - Two tracks with  $p^* > 1.8$  GeV
- Track selection
  - Tight Doca
  - $22^\circ < \theta_{lab} < 120^\circ$
- Event selection
  - $E_{tot} < 11$  GeV
  - $P_{miss} < 3$  GeV
  - $N_{mult} (\equiv N_{trk} + N_\gamma/2) \geq 6$ ,  
 $E_\gamma > 80$  MeV



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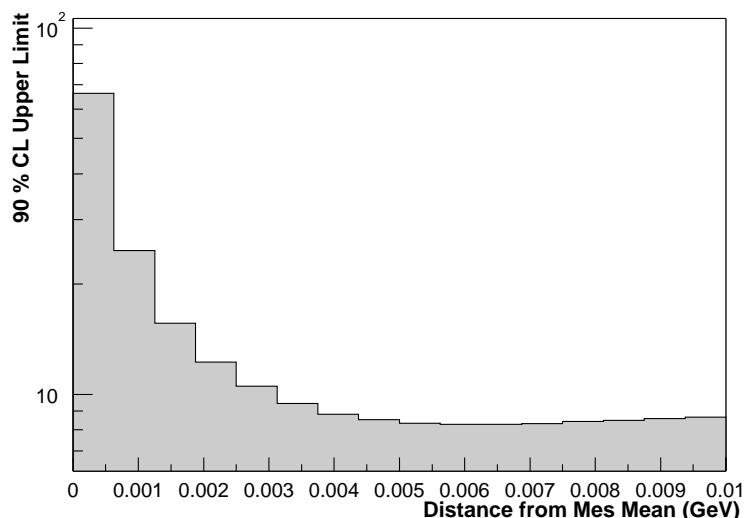
- Vertexing
  - Well reconstructed vertex
  - $\mathcal{P}(\chi^2) > 0.1\%$
- Shape Variables
  - $|T| < 0.9$
  - $|\cos(\theta_T)| < 0.84$
- *B*-reconstruction  
Cuts on standard variables  
 $m_{ES}$  and  $\Delta E$  are optimized for best UL.



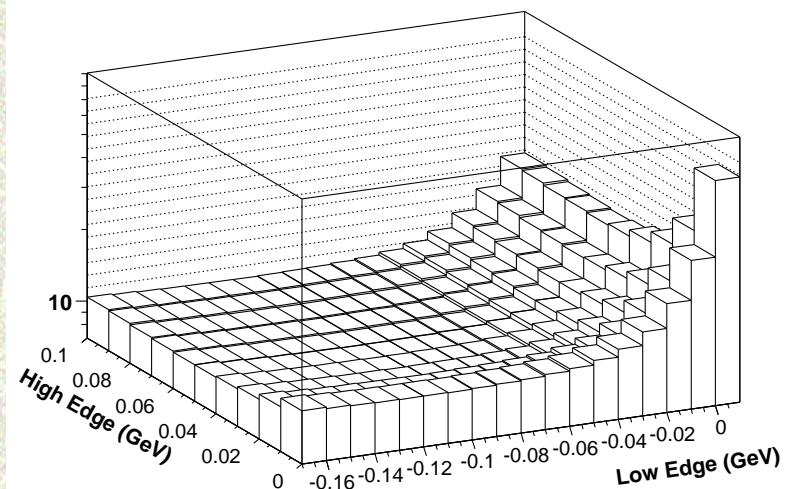


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## Signal Box Upper Limit Optimization



$m_{ES}$  range:  
5.273 — 5.285 [GeV/ $c^2$ ]



$\Delta E$  range:  
-0.105 — 0.050 [GeV]

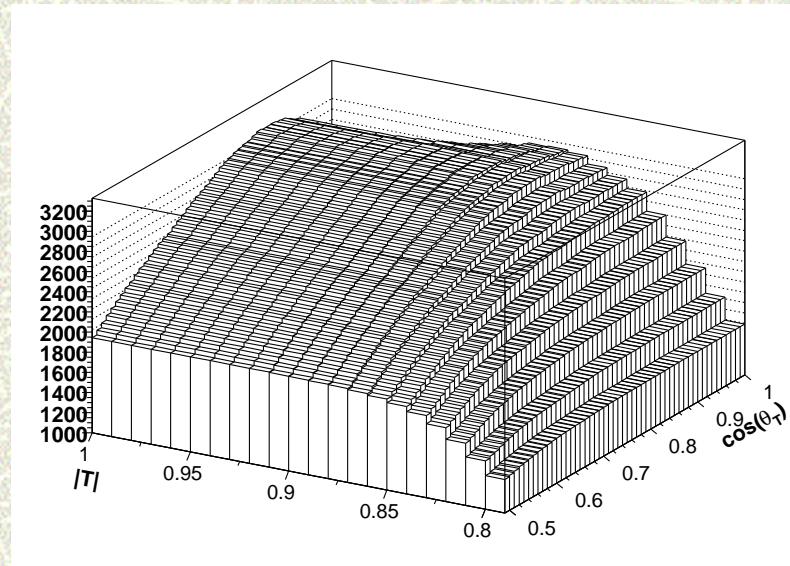
The scale in these plots is proportional to the 90% CL Upper Limit on the BR



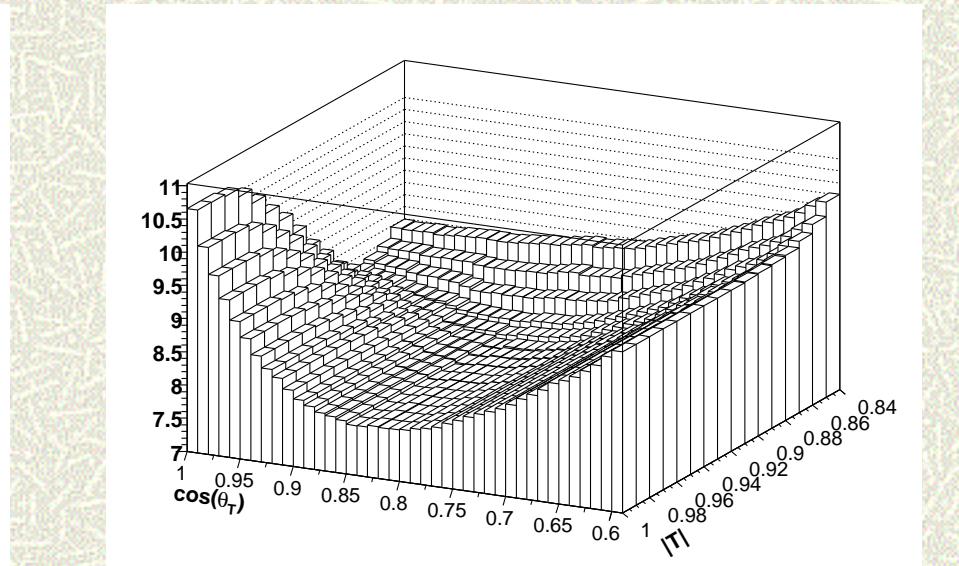
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## Shape Variables Optimization

The simultaneous upper limit optimization of  $|T|$  and  $\cos(\theta_T)$  yielded somewhat harder cuts than  $S^2/(S + B)$ .



$$S^2/(S + B)$$



UL optimization  
 $|T| < 0.9, \cos(\theta_T) < 0.84$



The resulting signal efficiency after applying all cuts amounts to:

- $\varepsilon = 19.3 \pm 0.4_{stat}\%$  for  $B^0 \rightarrow e^+e^-$
- $\varepsilon = 18.8 \pm 0.3_{stat}\%$  for  $B^0 \rightarrow \mu^+\mu^-$
- $\varepsilon = 18.3 \pm 0.3_{stat}\%$  for  $B^0 \rightarrow e^\pm\mu^\mp$

These numbers include the different size of the signal box in the three channels.

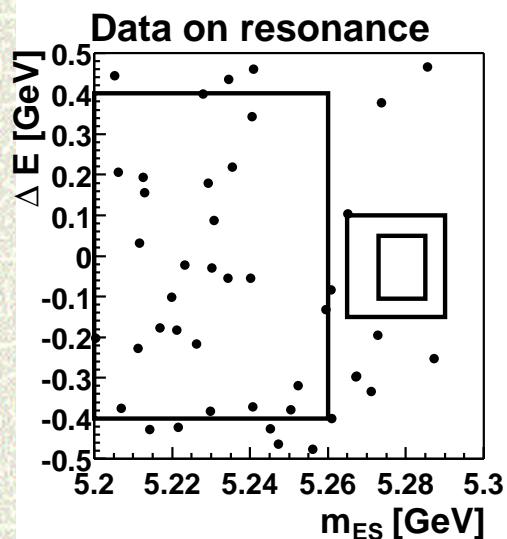
	$B^0 \rightarrow e^+e^-$		$B^0 \rightarrow \mu^+\mu^-$		$B^0 \rightarrow e^\pm\mu^\mp$	
Box Name	$m_{ES}$	$\Delta E$	$m_{ES}$	$\Delta E$	$m_{ES}$	$\Delta E$
Signal Box	5.273–5.285	-0.105–0.050	5.274–5.285	-0.050–0.050	5.274–5.284	-0.070–0.050
Grand Sideband	5.200–5.260	-0.400–0.400	5.200–5.260	-0.400–0.400	5.200–5.260	-0.400–0.400



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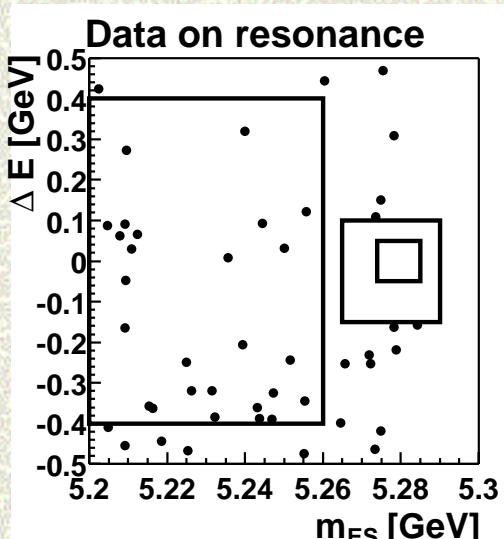
## Blind Analysis: $B^0 \rightarrow \ell^+ \ell^-$

$B^0 \rightarrow e^+ e^-$



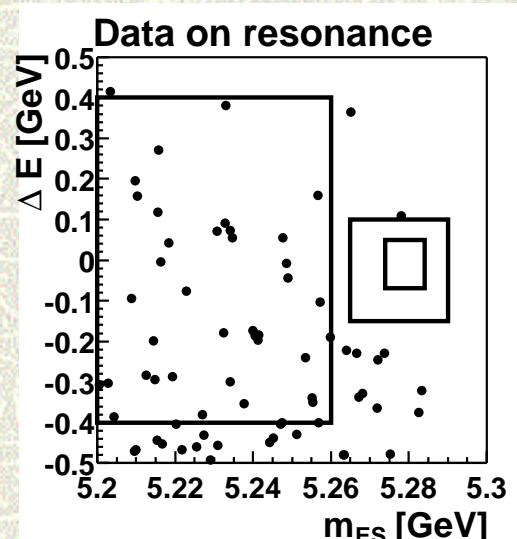
25 events observed in  
the GSB in data

$B^0 \rightarrow \mu^+ \mu^-$



26 events observed in  
the GSB in data

$B^0 \rightarrow e^\pm \mu^\mp$



37 events observed in  
the GSB in data



## Background Sources

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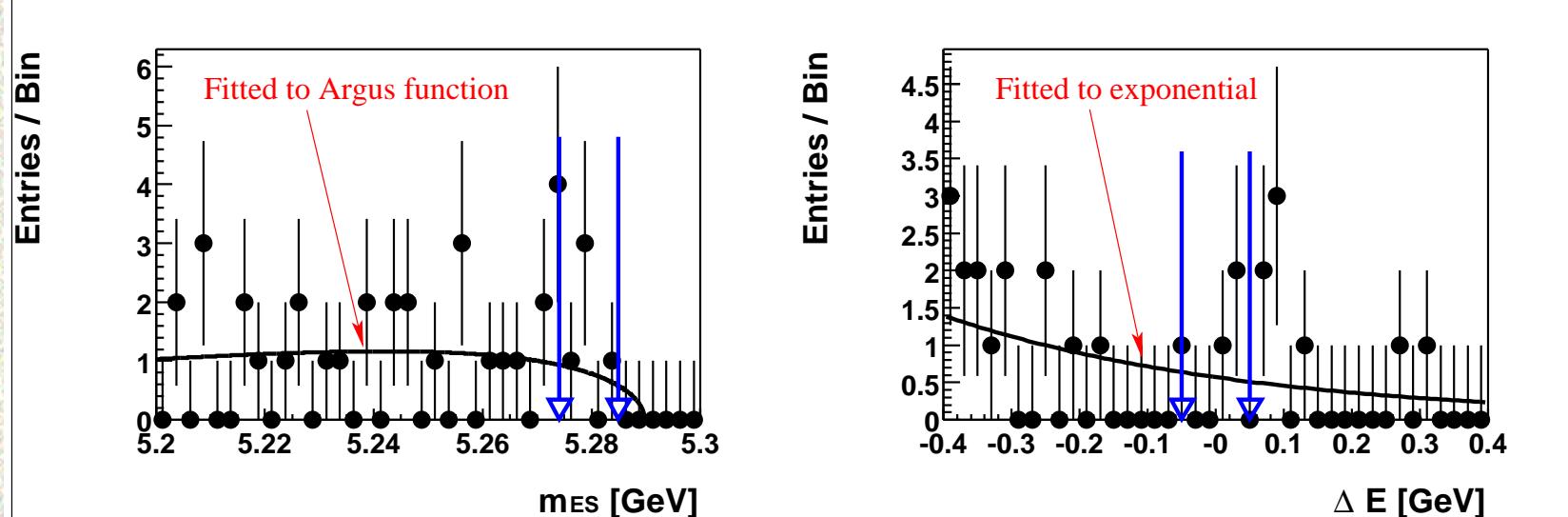
The main sources of background for the three  $B^0 \rightarrow \ell^+ \ell^-$  channels

- A common background composed of real leptons for all channels comes from  $c\bar{c}$
- In addition fake muon are introduced by misidentified pions in the  $B^0 \rightarrow \mu^+ \mu^-$  and  $B^0 \rightarrow e^\pm \mu^\mp$  channels
- $\gamma\gamma$  processes contribute to  $B^0 \rightarrow e^+ e^-$  and  $B^0 \rightarrow e^\pm \mu^\mp$



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## Background Estimation



The Unbinned Maximum Likelihood fit results are:

Background estimate		
$B^0 \rightarrow e^+ e^-$	$B^0 \rightarrow \mu^+ \mu^-$	$B^0 \rightarrow e^\pm \mu^\mp$
$0.60 \pm 0.24$	$0.49 \pm 0.19$	$0.51 \pm 0.17$



## Systematics

The systematic uncertainty is estimated by using a control sample

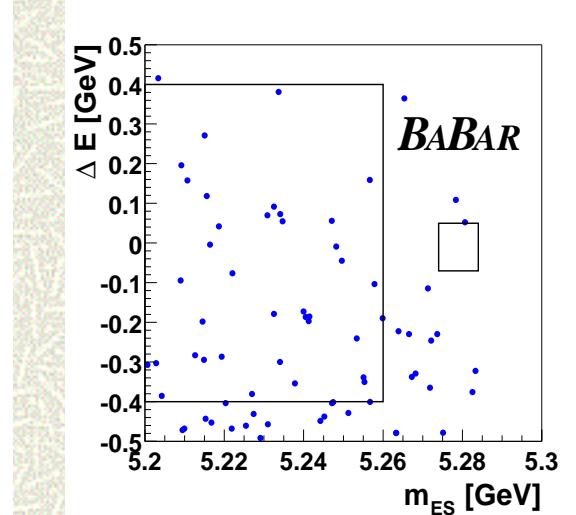
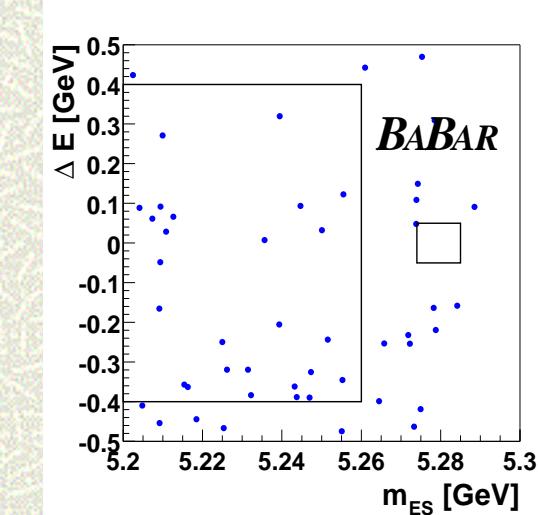
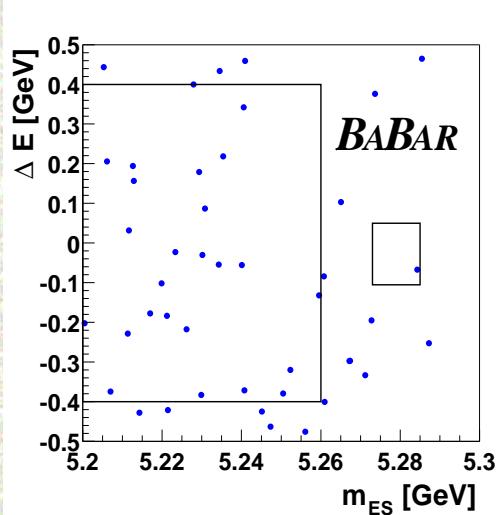
$$B^0 \rightarrow J/\psi K_S^0, \text{ with } J/\psi \rightarrow \ell^+ \ell^-.$$

Quantity	$B^0 \rightarrow \mu^+ \mu^-$		$B^0 \rightarrow e^+ e^-$	
	$\delta x/x [\%]$	$\delta \varepsilon / \varepsilon [\%]$	$\delta x/x [\%]$	$\delta \varepsilon / \varepsilon [\%]$
Track smearing and efficiency, per track	0.7	1.4	0.7	1.4
Electron identification, per electron		1.4	1.8	3.6
Hadron misidentification, per track	50	-	50	-
$\Delta E$	$2.4 \oplus 0.9$	2.56	$3.4 \oplus 2.8$	4.4
$m_{ES}$	1.7	1.7	0.1	0.1
$\cos \theta_T$	0.5	0.5	0.7	0.7
$ T $	2.5	2.5	2.5	2.5
$N_{mult}$	1.4	1.4	6.0	6.0
$E_{tot}$	0.009	0.009	1.5	1.5
$ p_{miss} $	0.13	0.13	0.1	0.1
primary Vertex	0.14	0.14	0.1	0.1
$B$ -candidate Vertex	0.05	0.05	1.6	1.6
Sub-Total	2.92	2.92	6.9	6.9
Total	-	4.68	-	8.2



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## Results



Channel	$N_{exp}$	$N_{obs}$	$N_{BG}$	$\varepsilon [\%]$	UL (90% CL)
$B^0 \rightarrow e^+e^-$	$1 \times 10^{-8}$	1	$0.60 \pm 0.24$	$19.3 \pm 0.40_{stat} \pm 1.60_{syst}$	$3.3 \times 10^{-7}$
$B^0 \rightarrow \mu^+\mu^-$	$4 \times 10^{-3}$	0	$0.49 \pm 0.19$	$18.8 \pm 0.28_{stat} \pm 2.00_{syst}$	$2.1 \times 10^{-7}$
$B^0 \rightarrow e^\pm\mu^\mp$	—	0	$0.51 \pm 0.17$	$18.3 \pm 0.38_{stat} \pm 1.50_{syst}$	$2.1 \times 10^{-7}$

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## BaBar Results for the Rare B Decays

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The Upper Limits values for the BR of  $B^0 \rightarrow \ell^+ \ell^-$  and  $B^- \rightarrow K^- \nu \bar{\nu}$  at 90% CL are:

Mode	CLEO	Belle	Babar
$\mathcal{B}(B^- \rightarrow K^- \nu \bar{\nu})$	$2.4 \times 10^{-4}$	-	$9.4 \times 10^{-5}$
$\mathcal{B}(B^0 \rightarrow e^+ e^-)$	$8.3 \times 10^{-7}$	$6.3 \times 10^{-7}$	$3.3 \times 10^{-7}$
$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)$	$6.1 \times 10^{-7}$	$2.8 \times 10^{-7}$	$2.0 \times 10^{-7}$
$\mathcal{B}(B^0 \rightarrow e^\pm \mu^\mp)$	$15.0 \times 10^{-7}$	$9.4 \times 10^{-7}$	$2.1 \times 10^{-7}$
Luminosity	$9.1 \text{ fb}^{-1}$	$21.3 \text{ fb}^{-1}$	$54.4 \text{ fb}^{-1}$