



- Introduction: CKM from semileptonic B decays
- $|V_{cb}|$
- Exclusive decays:  $B \to D^{(*)} \ell \nu$
- Inclusive decays:  $B \to X_c \ell \nu$
- $|V_{ub}|$
- Exclusive decays:  $B \to \pi \ell \nu, \rho \ell \nu$
- Inclusive decays:  $B \to X_u \ell \nu$
- Summary and Outlook



Use many techniques and compare results to gain confidence in QCD corr.

 $|V_{cb}|$  from  $\bar{B} \to D^{(*)} \ell \bar{\nu}$ 

Extracting  $|V_{cb}|$  from exclusive decays: The decay rate is given by

$$\frac{d\Gamma}{dw} = \frac{G_F^2}{48\pi^3} |V_{cb}|^2 [\mathcal{F}(w)]^2 \mathcal{K}(w)$$

 $w = v_B \cdot v_{D^{(*)}} = \frac{m_B^2 + m_{D^{(*)}}^2 - q^2}{2m_B m_{D^{(*)}}}$ 



- $\mathcal{F}(w)$  is the form factor describing  $B \to D^{(*)}$  transition
- HQET relations simplify the form factor
- HQS normalizes at zero recoil (w = 1): As  $M_Q \to \infty$ ,  $\mathcal{F}(1) \to 1$

Plan: Measure  $d\Gamma/dw$  and Extrapolate to w = 1 to extract  $\mathcal{F}(1)|V_{cb}|$ .





# $B \rightarrow D^{(*)}$ Form Factor - QCD complications

- Must know form factor shape to extrapolate
- Must know  $\mathcal{F}(1)$  to extract  $|V_{cb}|$

The most general Lorentz-invariant form factor is simplified by

- Massless leptons
- For  $B \to D\ell\nu$  only vector current one FF:  $F_1$
- For  $B \to D^* \ell \nu$  three FFs:  $A_1, A_2, V$  but ...
- Heavy Quark Symmetry
- $M_Q \rightarrow \infty$ : one form factor, the famous Isgur-Wise Function
- Form Factor Ratios  $R_1$  and  $R_2$  approximately constant in w
- QCD dispersion relations constrain the shape (Boyd et al.)
- Parameterization of FF: Caprini et al. NPB530 (1998) 153
- Includes curvature but one shape parameter:  $\rho^2$ , slope at w = 1





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From LEP  $|V_{cb}|$  working group updated for PDG02

Adjusted to common set of input parameters

- D branching fractions and B lifetimes
- Form-factor ratios  $R_1$  and  $R_2$
- $B \to D^* X \ell \nu$  background parameters

inputs, systematics and statistical correlation between  $\mathcal{F}(1)|V_{cb}| \rho^2$ Fits for  $\mathcal{F}(1)|V_{cb}|$  and  $\rho^2$  accounting for correlations between experimental

#### **Results of Average I**



Note correlations in  $\mathcal{F}(1)|V_{cb}|$ and  $\rho^2$ 

5% C.L. from combined fit

N.B. CLEO

- Includes  $D^{*0}$
- Fits data simultaneously for  $D^* X \ell \nu$  background

Others share  $D^*X\ell\nu$ estimate & model

Ellipses are  $\Delta \chi^2 = 1$  for each measurement (stat+syst)



## **Comments about Average**

- Need common treatment of input parameters and systematic errors
- Essential to use same form factor parameterization
- $C(\mathcal{F}(1)|V_{cb}|, \rho^2)$  on systematic errors needed

## **Important Issues For Future**

- Background from  $B \to D^* X \ell \nu$  input from new data
- Form Factor Ratios  $R_1$  and  $R_2$  poorly known can be measured
- Effect of EW radiative corrections on extrapolation and efficiency
- $\mathcal{F}(1)$  theory uncertainty currently ~ 5% should be reduced with unquenched Lattice results

## **Choosing Exclusive Final States**

- $D^*\ell\nu$  has larger rate than  $D\ell\nu$
- larger background in  $D\ell\nu$  (from  $D^*\ell\nu!$ )
- V A kinematics favors  $D^*$  in extrapolation to low w
- $\mathcal{K}_D(w) = (M_B + M_D)^2 M_D^3 (\sqrt{w^2 1})^3$
- $-\mathcal{K}_{D^*}(w) \propto \sqrt{w^2 1}$
- Luke's Theorem: For  $\mathcal{F}(1)$ , HQS-breaking corrections  $\mathcal{O}(1/M_Q^2)$  for  $D^*\ell\nu$  and at  $\mathcal{O}(1/M_Q)$  for  $D\ell\nu$

in the end with FF parameter determinations Bottom line: Most information from  $B \to D^* \ell \nu$ , but  $B \to D \ell \nu$  can help

ALEPH, BELLE and CLEO have  $B \to D\ell\nu$  measurements:

- Less precise than  $B \to D^* \ell \nu$
- Consistent determination of  $|V_{cb}| = (41.3 \pm 4.0_{\text{exp}} \pm 2.9_{\text{thr}}) \times 10^{-3}$



be calculated reliably, Rather than focussing on one hadronic final state where corrections may

Sum over all states and compare to quark-level calculation

$$\sum_{i} \Gamma(B \to X_c^{(i)} \ell \nu) = \Gamma(b \to c \ell \nu)$$

Relies on assumption of quark-hadron duality

Hard to quantify; must be tested!

# Theoretical Tools for Inclusive $b \rightarrow c\ell\nu$

Heavy Quark Expansion in powers of  $1/M_B$  and  $\alpha_s$ 

of nonperturbative operators: Operator Product Expansion - introduce parameters as matrix elements

### At order 1/M:

 $\Lambda - \approx M_B - m_b$  energy of light degrees of freedom

### At order $1/M^2$ :

 $\lambda_1$  - kinetic energy of b quark in B meson

 $\lambda_2$  - hyperfine interaction of b spin with light d.o.f.

### At order $1/M^3$ :

 $\rho, \mathcal{T}$  - six more parameters with less-intuitive interpretations

and so on ...

Use HQE/OPE tools to predict rate

$$= \frac{G_F^2 |V_{cb}|^2 M_B^5}{192\pi^3} \left[ \mathcal{G}_0 + \frac{1}{M_B} \mathcal{G}_1(\bar{\Lambda}) + \frac{1}{M_B^2} \mathcal{G}_2(\bar{\Lambda}, \lambda_1, \lambda_2) + \frac{1}{M_B^2} \mathcal{G}_2(\bar{\Lambda}, \lambda_1, \lambda_2) \right] \right]$$
  
+ 
$$\frac{1}{M_B^3} \mathcal{G}_3(\bar{\Lambda}, \lambda_1, \lambda_2 | \rho_1, \rho_2, \mathcal{T}_1, \mathcal{T}_2, \mathcal{T}_3, \mathcal{T}_4) + \mathcal{O}\left(\frac{1}{M_B^4}\right)$$

and moments of decay spectra in 
$$B \to X_c \ell \nu$$
:  
 $\langle M_B^2 \rangle = \langle M_B^2 \rangle$ 
[Fally Linke Savage Greenin Kann

and moments of decay spectra in 
$$B \to A_c \ell \nu$$
:  
 $\langle E_\ell \rangle, \langle E_\ell^2 \rangle, \langle M_X^2 \rangle$  [Falk,Luke,Savage,Gremm,Kapust

stin]

and  $B \to X_s \gamma$ :  $\langle E_\gamma \rangle, \langle E_\gamma^2 \rangle$ 

Example:

 $\langle E_{\gamma} \rangle = \frac{M_B}{2} \left[ 1 - .385 \frac{\alpha_s}{\pi} - .620 \beta_0 \left( \frac{\alpha_s}{\pi} \right)^2 - \frac{\bar{\Lambda}}{M_B} \left( 1 - .954 \frac{\alpha_s}{\pi} - 1.175 \beta_0 \left( \frac{\alpha_s}{\pi} \right)^2 \right) \right]$ 

 $-\frac{13\rho_1 - 33\rho_2}{12M_B^3} - \frac{\mathcal{T}_1 + 3\mathcal{T}_2 + \mathcal{T}_3 + 3\mathcal{T}_4}{4M_B^3} - \frac{\rho_2 C_2}{9M_B M_D^2 C_7} + \mathcal{O}(1/M_B^4)]$ 

[Bauer, Z.Ligeti et al.]

**Roadmap for Inclusive**  $|V_{cb}|$ 

### Milestones:

- Theory
- Expressions for  $\Gamma$  and moments
- Experiment
- Inclusive branching fraction  $\mathcal{B}(B \to X \ell \nu)$
- Lifetimes
- Moments:  $\langle E_{\gamma} \rangle$  in  $b \to s\gamma$ ,  $\langle M_X^2 \rangle$  in  $B \to X_c \ell \nu$

Recent improvements on  $|V_{cb}|$  use experimental measurements of theory parameters to bound HQET parameters

measurements. Other improvements come from new branching fraction and lifetime











• Suppress using kinematics

- Exc. Unknown form factors
- Inc. Effect of kinematic cuts

## **Exclusive** $B \to \pi \ell \nu$ and $B \to \rho \ell \nu$

 $\nu$  reconstruction using hermiticity of detector: Powerful kinematic constraints for full reconstruction  $(\Delta E, M_B)$ 

- $E_{\text{miss}} = 2E_{\text{beam}} \sum_i E_i$
- $\vec{p}_{\text{miss}} = -\sum_i \vec{p}_i$

Evaluate  $\mathcal{B}$  using form factors and extract  $|V_{ub}|$  from

$$\Gamma = \frac{\mathcal{B}}{\tau_{\mathcal{B}}} = \gamma_u |V_{ub}|^2$$

Form factors (and  $\gamma$ ) from

- Lattice e.g. UKQCD
- Quark Models *e.g.* ISGW2, WSB
- Light Cone Sum Rules e.g. Ball and Braun
- HQS e.g. Ligeti and Wise from  $D \to K^* \ell \nu$



#### K. M. Ecklund (Cornell)



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2d-fit to \Delta E and p_\ell
                                                                                                                                                                                                     Updated at Moriond02 (H. Ishino): 29.2 fb<sup>-1</sup>
                                                                                                                     Uses more recent models for form factors
\mathcal{B}(B^0 \to \pi^- \ell^+ \nu) =
                                                 \mathcal{B}(B^0 \to \pi^- \ell^+ \nu) = (1.89 \pm 0.15 \pm 0.30) \times 10^{-4}
 (1.92 \pm 0.16 \pm 0.30) \times 10^{-4}
                                                         LCSR
   UKQCD
```



Looser cuts than 1996;  $3.1 \text{ fb}^{-1}$ 

 $E_{\ell} > 1.7 \text{ GeV}$ ; Most sensitivity for  $E_{\ell} > 2.3 \text{ GeV}$ 

$$\mathcal{B}(B^0 \to \rho^- \ell^+ \nu) = (2.69 \pm 0.41^{+0.35}_{-0.40} \pm 0.50) \times 10^{-4}$$

Statistically independent of 1996 analysis









Small signal extraction depends on  $b \rightarrow c\ell\nu$  model! S/B = 0.05









Idea:<sup>1</sup> Reduce problems by using  $b \to s\gamma$  photon spectrum

Common shape function for  $b \to \text{light transitions}$  (to leading order) Tells how to smear from  $b \to s\gamma$  to  $B \to X_s\gamma$  and  $b \to u\ell\nu$  to  $B \to X_u\ell\nu$ 

<sup>1</sup>(Neubert, Kagan, De Fazio ; Leibovich, Low, Rothstein)

# $|V_{ub}|$ from lepton spectrum and $b \rightarrow s\gamma$

endpoint Measure  $B \to X_u \ell \nu$  in a lepton momentum interval (p) at the  $B \to X \ell \bar{\nu}$ 

- $\Delta \mathcal{B}_{ub}(p)$  is the branching fraction for  $B \to X_u \ell \nu$  in (p),
- $f_u(p)$  is the fraction of the  $B \to X_u \ell \nu$  spectrum in (p), and
- $\mathcal{B}_{ub} \equiv \mathcal{B}(B \to X_u \ell \nu)$  is the  $B \to X_c \ell \nu$  branching fraction.

New measurement of  $f_u(p)$ 

- fit  $b \rightarrow s\gamma$  data to a shape function (Kagan-Neubert)
- use shape parameters to determine  $f_u(p)$  (De Fazio-Neubert)
- Then get  $\mathcal{B}_{ub}$  from  $\Delta \mathcal{B}_{ub}(p) = f_u(p) \mathcal{B}_{ub}$  and obtain  $|V_{ub}|$  from
- $|V_{ub}| = \left[ (3.07 \pm 0.12) \times 10^{-3} \right] \times \left[ \frac{\mathcal{B}_{ub}}{0.001} \frac{1.6 \text{ ps}}{\tau_B} \right]^{\frac{1}{2}}$

(Hoang-Ligeti-Manohar) (Bigi-Uraltsev-Shifman-Vainshtein)



Improvement on  $f_u$  from use of  $b \to s\gamma$  spectrum – knowledge of non-perturbative QCD in B to light decays

 $|V_{ub}| = (4.08 \pm 0.34_{exp} \pm 0.44_{f_u} \pm 0.16_{\Gamma} \pm 0.24_{\Lambda/M_B}) \times 10^{-3}$ Improved 15% uncertainty CLEO hep-ex/0202019 (to appear in PRL)



Summary and Outlook

#### $V_{cb}$

- agreement(?) inclusive and exclusive techniques sub 5%
- data in hand at B factories to put our understanding to the test
- Exclusive Future
- unquenched lattice for  $\mathcal{F}(1)$
- data on form factor  $R_1$ ,  $R_2$ , shape
- Inclusive Future
- new  $\mathcal{B}$ ,  $\tau$  from Belle and Babar
- looks promising but need confirmation with more moments
- E-moments in the summer

#### $|V_{ub}|$

- again good agreement on inclusive and exclusive 15-20%
- Exclusive Future
- More statistics from Belle, Babar, CLEO
- Lattice form factor for  $\pi \ell \nu$
- Inclusive Future
- better shape function from  $b \to s\gamma$  statistics
- use of  $M_X$  and  $q^2$  cuts is there argreement?
- better control of theory uncertainties?
- higher twist corrections to shape function?
- better  $b \to c\ell\nu$  improves  $b \to u\ell\nu$

Many improvements in understanding to come with B factory data.

8 May 2002





Main differences arise from B momentum in lab frame: At LEP  $p_B \sim 30 \text{ GeV}/c$ ; At  $B\bar{B}$  threshold  $p_B \sim 0.3 \text{ GeV}/c$ 

- w is boost of  $D^*$  in B rest frame
- LEP resolution on  $\sigma_w \sim 0.07$ –0.14 compared to  $\sigma_w \sim 0.03$  at 4S





- 4S experiments suffer from  $\pi$  efficiency turn on At LEP Efficiency is flat
  - In  $D^{*+} \to D^0 \pi^+$  the  $\pi$  momentum comes from the boost of the  $D^*$
  - Low efficiency below 0.05 MeV precisely most interesting events for extrapolation to w=1.
  - Does not apply to  $D^{*0} \to D^0 \pi^0$ ;  $\pi^0$  efficiency is flat.

- LEP expts. have more exposure to  $B \to D^* X \ell \nu$ 
  - poorer missing mass resolution 4S can separate sig/bkgd
  - larger systematic from poorly known  $B \to D^{**} \ell \nu$  and non-resonant  $B \to D^* \pi \ell \nu$  modes

