

FPCP 2002

U of Penn

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~~CP~~ in Neutrino Interactions

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$\mathcal{CP}$ in Neutrino Interactions

$\mathcal{CP}$ violation ($\mathcal{CP}$) is —

- The breaking of matter-antimatter symmetry
- Needed for the baryogenesis that makes our existence possible

$\mathcal{CP}$ in Quark Interactions

So far, $\mathcal{CP}$ has been seen in the laboratory only in processes involving quarks.

What has been seen includes —

2]

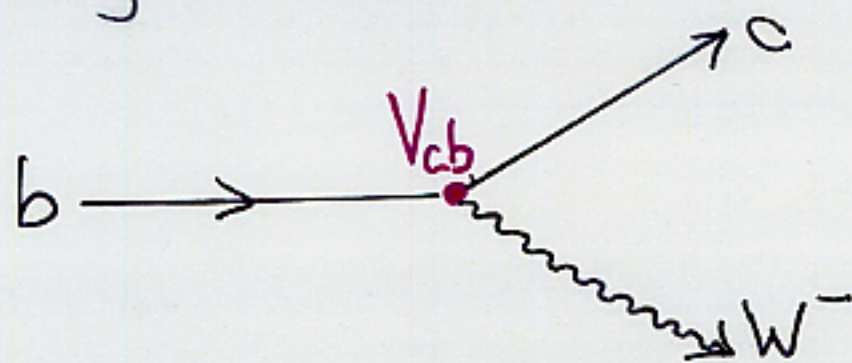
$$K_L (CP \simeq -1) \rightarrow \pi^+ \pi^- (CP = +1)$$

$$\Gamma(K_L \rightarrow \pi^- \ell^+ \nu) \neq \Gamma(K_L \rightarrow \pi^+ \ell^- \bar{\nu})$$

$$\text{Amp}(K^0 \rightarrow \pi^+ \pi^-) \neq \text{Amp}(\bar{K}^0 \rightarrow \pi^+ \pi^-)$$

$$\Gamma(B_d(t) \rightarrow \psi K_S) \neq \Gamma(\bar{B}_d(t) \rightarrow \psi K_S)$$

In the S(tandard) M(odell), all these effects come from a complex phase factor $e^{-i\Delta}$ in the unitary quark mixing matrix V :



V would have no meaning if the quarks had no masses. CP from V requires these masses.

CP in Neutrino Interactions

Neutrinos almost certainly change (oscillate) from one flavor to another.

Flavor Change $\Rightarrow$ { Neutrino Mass
and Mixing }

$\therefore$ Neutrinos almost certainly have masses and mix.

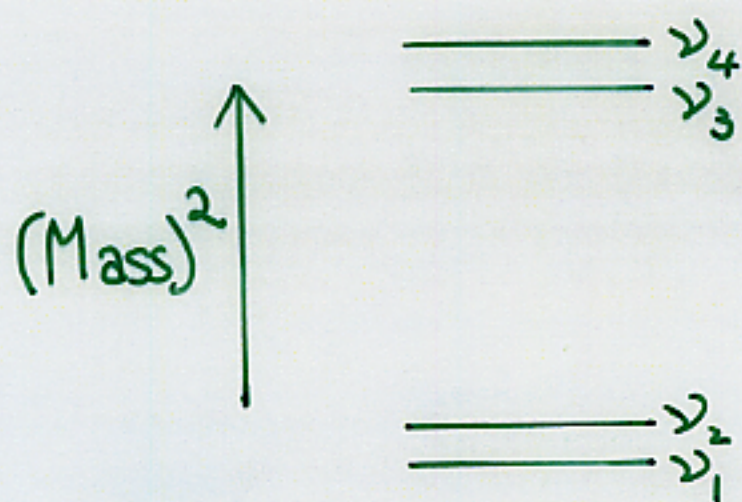
$\therefore$ The leptons, including the neutrinos, are like the quarks.

The stage is set for CP from phases in a unitary leptonic mixing matrix U .

A.11

Neutrino Masses and Mixing

There is some spectrum of three or more neutrino mass eigenstates ν_m :



$$\text{Mass}(\nu_m) \equiv M_{\nu_m}$$

When $W^+ \rightarrow \ell^+ + \nu_\ell$, where $\ell = e, \mu$, or τ , the produced neutrino state $|\nu_\ell\rangle$ is

$$|\nu_\ell\rangle = \sum_m U_{\ell m}^* |\nu_m\rangle$$

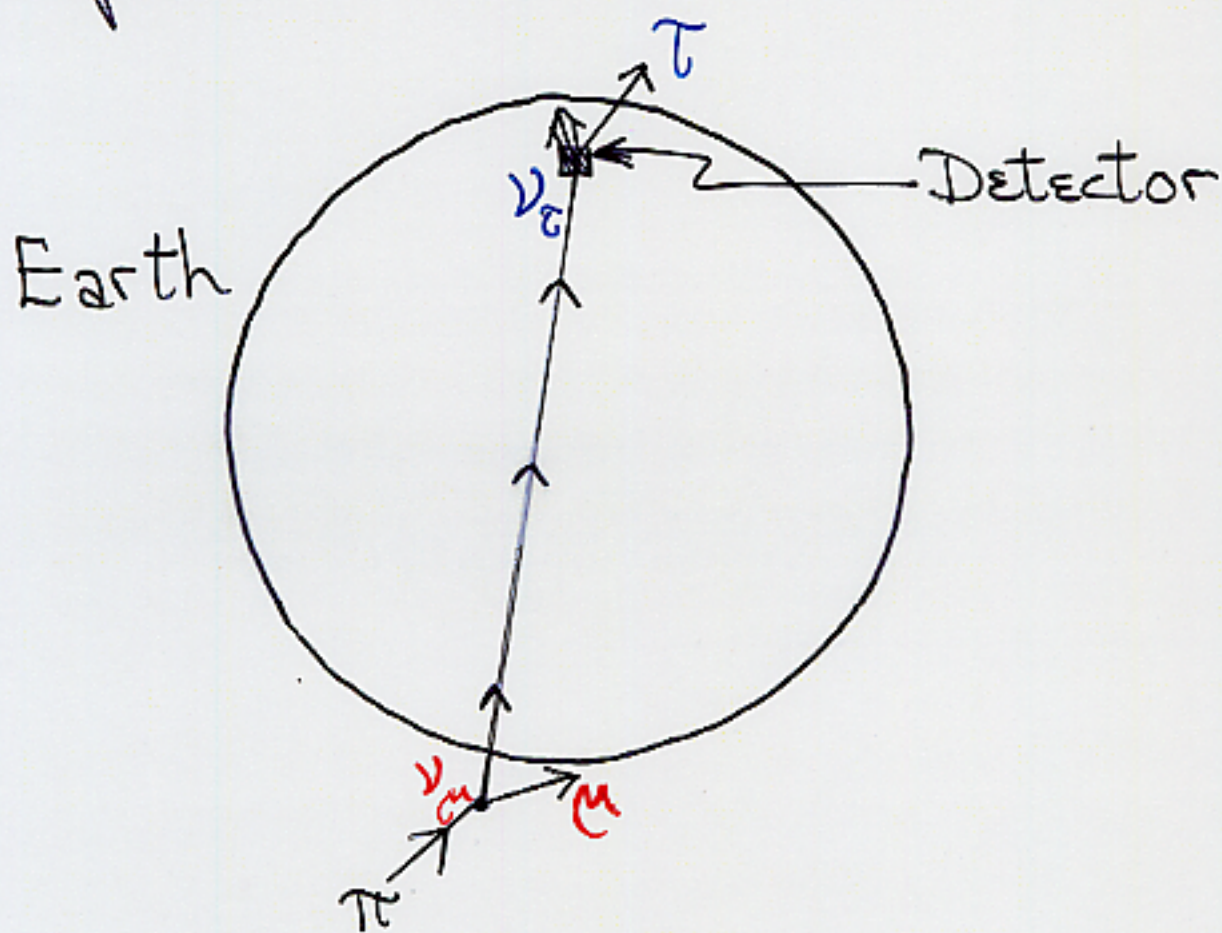
Neutrino of
flavor ℓ

Unitary Leptonic
Mixing Matrix

$$\text{Flavor-}\ell \text{ fraction of } \nu_m = |\langle \nu_\ell | \nu_m \rangle|^2 = |U_{\ell m}|^2.$$

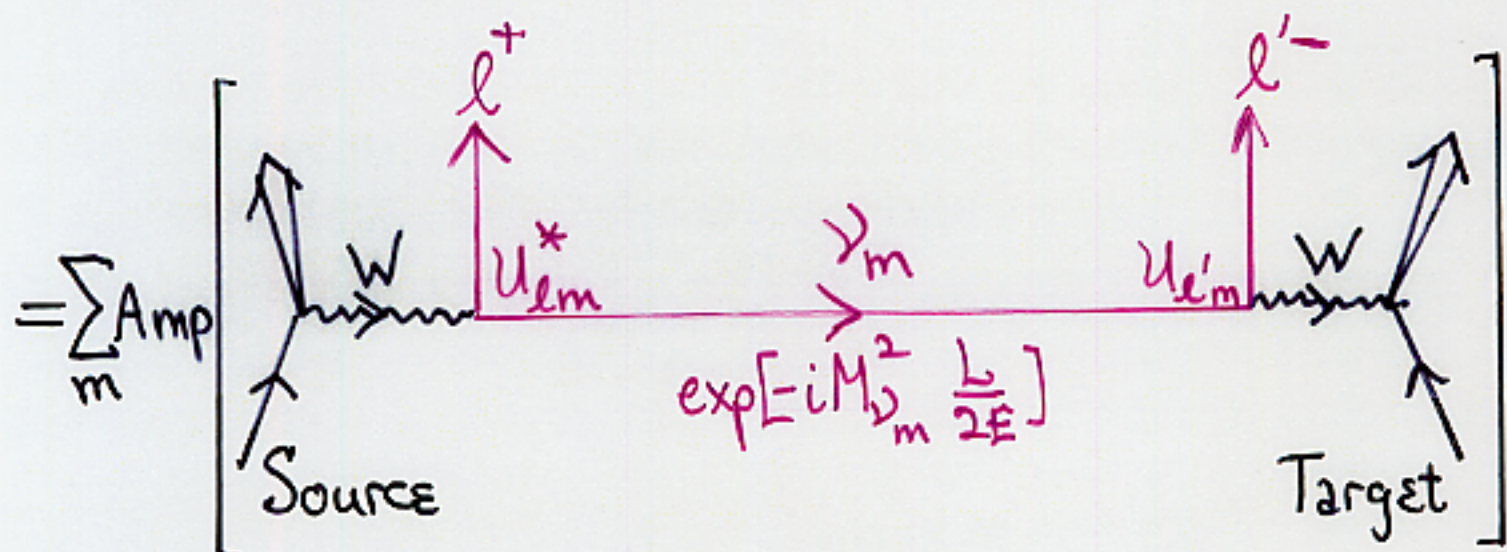
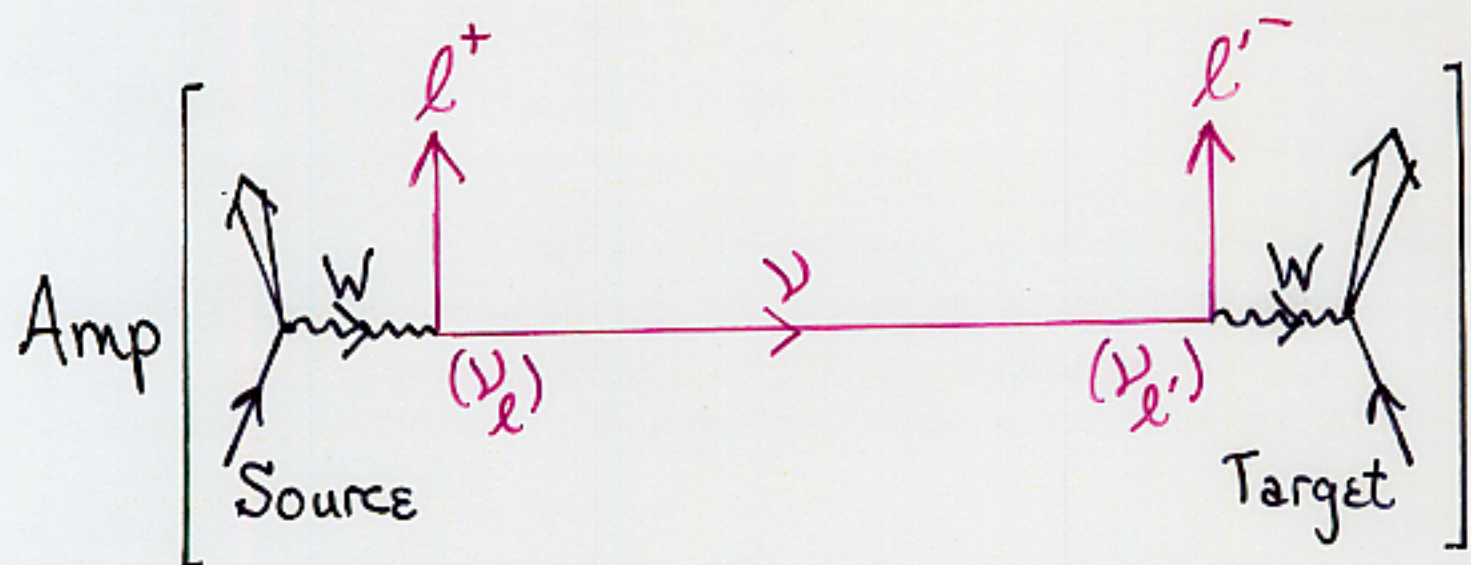
2] **Neutrino oscillation**: A neutrino is born in association with a charged lepton l (e.g. μ). It then interacts and produces a **different** charged lepton $l' \neq l$ (e.g. τ).

Example—



$\nu_\mu \rightarrow \nu_\tau$ Oscillation

1.3] Oscillation Probabilities (B.K., Stodolsky way)



$$= \sum_m U_{em}^* e^{-iM_{\nu_m}^2 \frac{L}{2E}} U_{e'm} \equiv \text{Amp}(\nu_e \rightarrow \nu_{e'})$$

L = distance the neutrino travels
 E = neutrino energy

1.4 The oscillation probability is then -

$$P(\nu_\ell \rightarrow \nu_{\ell'}) = |\text{Amp}(\nu_\ell \rightarrow \nu_{\ell'})|^2 =$$

$$= \delta_{\ell\ell'} - 4 \sum_{m>m'} \text{Re}(U_{\ell m}^* U_{\ell' m} U_{\ell m'} U_{\ell' m'}^*) \sin^2(\delta M_{mm'}^2 \frac{L}{4E}) \\ + 2 \sum_{m>m'} \text{Im}(U_{\ell m}^* U_{\ell' m} U_{\ell m'} U_{\ell' m'}^*) \sin(\delta M_{mm'}^2 \frac{L}{2E}).$$

$$P(\bar{\nu}_\ell \rightarrow \bar{\nu}_{\ell'}; U) \stackrel{\text{CPT}}{=} P(\nu_{\ell'} \rightarrow \nu_\ell; U)$$

$$\stackrel{\text{Above}}{=} P(\nu_\ell \rightarrow \nu_{\ell'}; U^*)$$

1.9 The oscillation probability is then -

$$\begin{aligned} P(\bar{\nu}_\ell \rightarrow \bar{\nu}_{\ell'}) &= |\text{Amp}(\bar{\nu}_\ell \rightarrow \bar{\nu}_{\ell'})|^2 = \\ &= \delta_{\ell\ell'} - 4 \sum_{m>m'} \text{Re}(U_{\ell m}^* U_{\ell' m} U_{\ell m'} U_{\ell' m'}^*) \sin^2(\delta M_{mm'}^2 \frac{L}{4E}) \\ &\quad (\pm) 2 \sum_{m>m'} \text{Im}(U_{\ell m}^* U_{\ell' m} U_{\ell m'} U_{\ell' m'}^*) \sin(\delta M_{mm'}^2 \frac{L}{2E}). \end{aligned}$$

$$\begin{aligned} P(\bar{\nu}_\ell \rightarrow \bar{\nu}_{\ell'}; U) &\stackrel{\text{CPT}}{=} P(\nu_{\ell'} \rightarrow \nu_\ell; U) \\ &\stackrel{\text{Above}}{=} P(\nu_\ell \rightarrow \nu_{\ell'}; U^*) \end{aligned}$$

Complex phases in U can lead to
the CP

$$P(\nu_\ell \rightarrow \nu_{\ell'}) \neq P(\bar{\nu}_\ell \rightarrow \bar{\nu}_{\ell'}).$$

Evidence for Oscillation

There are 3 pieces of evidence that neutrinos oscillate:

<u>Neutrinos</u>	<u>Evidence of Oscillation</u>	<u>Required ΔM^2 (eV²)</u>
$\bar{\nu}_\mu$ Atmospheric	Compelling	3×10^{-3}
ν_e Solar	Compelling	4×10^{-10} to 2×10^{-4}
$\bar{\nu}_\mu$ LSND (KARMEN)	Unconfirmed	0.2 to 1, or 7

If all 3 of these oscillations are genuine, then nature must contain —

- At least 4 neutrino masses
- Correspondingly, $\nu_e, \nu_\mu, \nu_\tau, \nu_s(\text{sterile})$??

If there are only 3 masses, then we must have

$$\sum \Delta M^2 = (M_{\nu_2}^2 - M_{\nu_1}^2) + (M_{\nu_3}^2 - M_{\nu_1}^2) + (M_{\nu_1}^2 - M_{\nu_2}^2) = 0.$$

5.11 Solar Neutrinos

The sun produces only ν_e .

But the solar neutrino flux arriving at earth includes ν_μ and/or ν_τ :

$$\phi_{\mu\tau} = (3.41^{+0.66}_{-0.64}) \times 10^6 / \text{cm}^2 \text{ sec}$$

(SNO; April 20, 2002)

The ν_μ / ν_τ flux is 5.3σ from zero.

With Super-K $\nu_{\text{sol}} - e$ data included,

$$\phi_{\mu\tau} = (3.45^{+0.65}_{-0.62}) \times 10^6 / \text{cm}^2 \text{ sec},$$

5.5σ from zero.

Change of ν flavor does occur.

Most-favored flavor-change mechanism:

Large Mixing Angle -

Mikheyev Smirnov Wolfenstein
- Effect

(LMA - MSW)

Flavor change within the sun.

An interplay between ν masses and mixing and flavor-preserving ν -e interactions.

ν masses and mixing are still implied.

7] Atmospheric Neutrinos

Compelling evidence for atmospheric

$$\nu_{\mu} \rightarrow \nu_{\tau}$$

ν_{τ} mostly ν_{τ}

Mixing $\sim$ Maximal

Matter effects negligible at $E_{\nu} \sim 1 \text{ GeV}$.

This is flavor change via—
oscillation in "vacuum".

8] Certainly—

We should seek $\cancel{CP}$ in ν oscillations.

Seeing it would establish that $\cancel{CP}$ is not a peculiarity of quarks.

What do we expect to see?

Ans. 8) Suppose there are only 3 neutrinos, and the behavior of solar neutrinos is due to the Large Mixing Angle MSW effect. Then—

$$U \approx \begin{matrix} & \nu_1 & \nu_2 & \nu_3 \\ \begin{matrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{matrix} & \begin{bmatrix} c e^{i\frac{\alpha_1}{2}} & s e^{i\frac{\alpha_2}{2}} & s_{13} e^{-i\delta} \\ -\frac{s}{\sqrt{2}} e^{i\frac{\alpha_1}{2}} & \frac{c}{\sqrt{2}} e^{i\frac{\alpha_2}{2}} & \frac{1}{\sqrt{2}} \\ \frac{s}{\sqrt{2}} e^{i\frac{\alpha_1}{2}} & -\frac{c}{\sqrt{2}} e^{i\frac{\alpha_2}{2}} & \frac{1}{\sqrt{2}} \end{bmatrix} \end{matrix}$$

$$c \equiv \cos \theta_0, \quad s \equiv \sin \theta_0, \quad s_{13} \equiv \sin \theta_{13}$$

With Large-Mixing MSW,

$$0.20 < \sin^2 \theta_0 < 0.30 \quad (90\% \text{ CL}).$$

(SNO)

from bounds on reactor $\bar{\nu}_e$ oscillation,

$$\sin^2 \theta_{13} \lesssim 0.03 \quad (90\% \text{ CL}). \quad (\text{CHOOZ; Palo Verde})$$

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Note the contrast between U and the quark mixing matrix, V .

With $B \equiv \text{Big}$ and $s \equiv \text{small}$,

$$V_{(\text{quarks})} = \begin{bmatrix} 1 & s & s \\ s & 1 & s \\ s & s & 1 \end{bmatrix},$$

but

$$U_{(\text{leptons})} = \begin{bmatrix} B & B & s \\ B & B & B \\ B & B & B \end{bmatrix}.$$

Are big leptonic mixings due to a symmetry, perhaps broken so that the mixings are not quite maximal?

11 The phases $\alpha_{1,2}$ do not affect
 ν oscillation.

[P x par]

We will have

$$P(\nu_e \rightarrow \nu_{e'}) \neq P(\bar{\nu}_e \rightarrow \bar{\nu}_{e'})$$

if δ and s_{13} are nonvanishing.

Searches —

Off-Axis Detectors [Beam ~ Monochromatic]

Superbeams [Many $\pi \rightarrow \mu \nu_\mu$]

Neutrino Factories [$\mu \rightarrow e \nu_\mu \nu_e$]

Let $P(\nu_l \rightarrow \nu_{l'}) - P(\bar{\nu}_l \rightarrow \bar{\nu}_{l'}) \equiv \Delta_{CP}(ll')$.

If there are only 3 neutrinos,

$$\begin{aligned}\Delta_{CP}(e\mu) &= \Delta_{CP}(\mu\tau) = \Delta_{CP}(\tau e) \\ &= 16J k_{12} k_{23} k_{31} \end{aligned}$$

where

$$J \equiv \text{Im}(U_{e1}^* U_{e3} U_{\mu 1} U_{\mu 3}^*) \cong \frac{1}{4} \sin 2\theta_{12} \sin \theta_{13} \sin \delta,$$

and

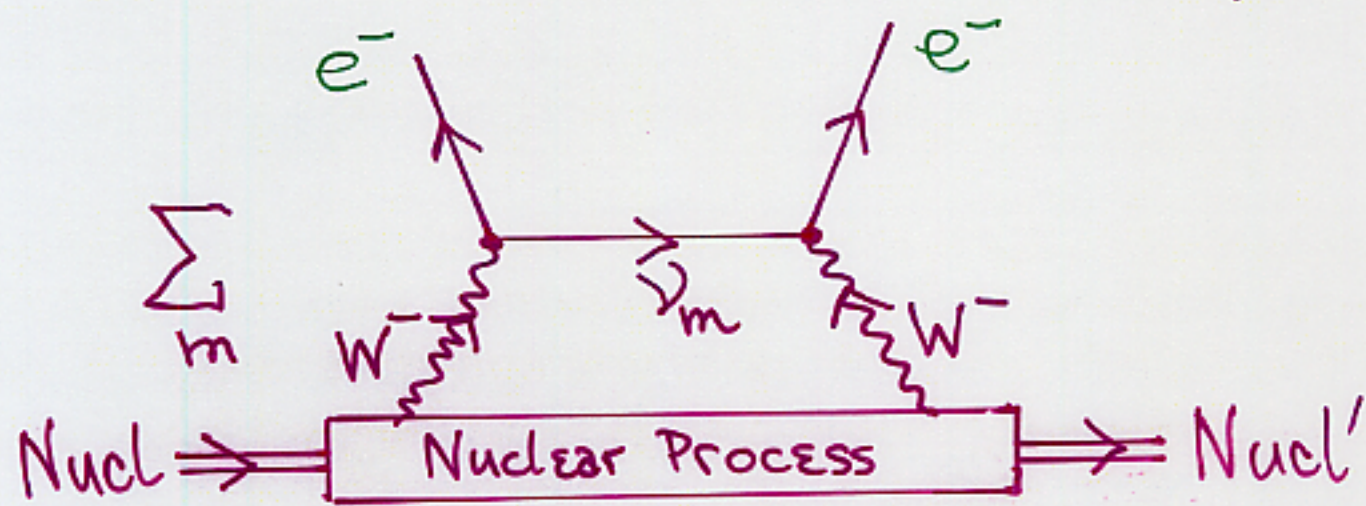
$$k_{mm'} \equiv \sin \left[1.27 \delta M_{mm'}^2 (\text{eV}^2) \frac{L(\text{km})}{E(\text{GeV})} \right].$$

- Just one CP difference
- No hadronic uncertainties
- But, small due to $\sin \theta_{12}$ and δM_{12}^2

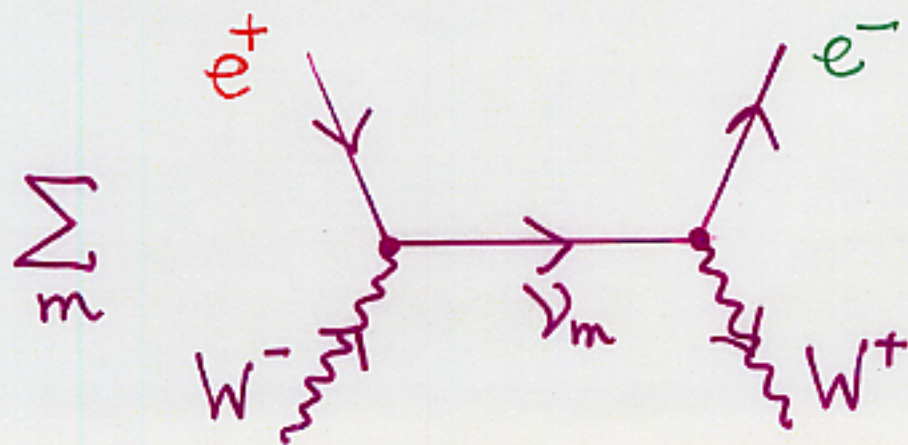
Where can the phases $\alpha_{1,2}$ play a role?
If $\bar{\nu}_m \neq \nu_m$, nowhere!

But if $\bar{\nu}_m = \nu_m$, $\alpha_{1,2}$ influence—

Neutrinoless Double Beta Decay ($\beta\beta_{0\nu}$)



The heart of this, in the cross channel, is



|| The amplitude for this, $\text{Amp}_{\beta\beta}$, is

$$\text{Amp}_{\beta\beta} = \sum_m \overbrace{\langle e^- W^+ | H | \nu_m \rangle \langle \nu_m | H | e^+ W^- \rangle}^{\propto U_{em}}$$

ν_m helicity

Standard Model weak interaction

Helicity

Must be the same for $|\nu_m\rangle$ and $\langle \nu_m|$.

$$\text{But } \left\{ \begin{array}{l} \langle e^- W^+ | H | \nu_m(RH) \rangle \\ \langle \nu_m(LH) | H | e^+ W^- \rangle \end{array} \right\} \sim \mathcal{O}\left(\frac{M_{\nu_m}}{E_\nu}\right)$$

$$\therefore \nu_m \text{ contribution} \propto M_{\nu_m}$$

(2)

$$Amp_{\beta\beta} = \sum_m \overbrace{\langle e^- W^+ | H | \nu_m \rangle \langle \nu_m | H | e^+ W^- \rangle}^{\propto U_{em}}$$

ν_m helicity

$$\langle e^- W^+ | H | \nu_m \rangle \stackrel{CPT}{=} \langle \bar{\nu}_m | H | e^+ W^- \rangle$$

$$\stackrel{\text{when } \bar{\nu}_m = \nu_m}{=} \langle \nu_m | H | e^+ W^- \rangle$$

Then

$$|Amp_{\beta\beta}| \propto \left| \sum_m M_{\nu_m} U_{em}^2 \right| \equiv M_{\beta\beta}.$$

$$\Gamma_{\beta\beta} \propto M_{\beta\beta}^2 \text{ depends on } \alpha_{1,2}.$$

13] Can We Measure $\alpha_{1,2}$?

An optimistic scenario:

The ν spectrum is —

$$\delta M_0^2 < \overleftrightarrow{\text{Average mass } M_0 \sim 0.5 \text{ eV}} \\ \uparrow \delta M_{\text{atm}}^2 \\ \downarrow$$

Then—

$$M_{\beta\beta} = M_0 \sqrt{1 - \sin^2 2\theta_0 \sin^2 \left(\frac{\alpha_2 - \alpha_1}{2} \right)}$$

M_0 : Measured in KATRIN tritium β decay exp.

θ_0 : Measured in KamLAND reactor exp.

$M_{\beta\beta}$: Measured in $\beta\beta_{0\nu}$ exp., after nuclear matrix element is conquered

If $M_0 \cos 2\theta_0 \leq M_{\beta\beta} \leq M_0$, learn $\alpha_2 - \alpha_1$.

If $M_{\beta\beta}$ is not in this range, $\bar{\nu} \neq \nu$.

The α_i : Majorana $\mathcal{CP}$ phases.

They occur only for Majorana particles.

Majorana $\mathcal{CP}$ phases and our existence

Why does the universe contain much more **matter** (of which we are made) than **antimatter**?

Why is $\Delta B \equiv \#(\text{Baryons}) - \#(\text{Antibaryons}) \neq 0$?

Symmetry suggests that $\Delta B = 0$ at $t=0$.

How did $\Delta B \neq 0$ subsequently arise?

Sakharov: $\mathcal{CP}$ is required.

Example: $(X^+ \rightarrow p + \dots) \xrightarrow{\mathcal{CP}} (X^- \rightarrow \bar{p} + \dots)$

If no $\mathcal{CP}$, the rates are equal.

2] Is the observed $\Delta B \neq 0$ due to $\cancel{CP}$ in Leptonic interactions?

A two-step process:

- 1) Generate $\Delta L \equiv \#(\text{Leptons}) - \#(\text{Antileptons}) \neq 0$ before the electroweak phase transition, when the universe cooled through $\sim 100 \text{ GeV}$
- 2) Convert the $\Delta L \neq 0$ to $\Delta B \neq 0$ by expected B-L conserving processes at the electroweak phase transition

$\Delta L \neq 0$ can be generated by $\cancel{CP}$ in the decays of very heavy Majorana neutral leptons N .

(Fukugita & Yanagida)
(Buchmüller & Plümacher)

[3] With $N_{1,2}$ two such leptons, and $H^\pm$ a charged Higgs particle —

$$\Gamma(N_1 \rightarrow \ell^- H^+) =$$

$$= \left[\text{Diagram 1} + \text{Diagram 2} \right]^2$$

Diagram 1: A solid line labeled N_1 enters from the left and splits at a vertex labeled $X_{\ell 1}$. One outgoing line is dashed and labeled H^+ , the other is solid and labeled ℓ^- .

Diagram 2: A solid line labeled N_1 enters from the left and splits at a vertex labeled $X_{\ell 1}^*$. One outgoing line is solid and labeled ℓ^+ , the other is dashed and labeled H^- . This dashed line then enters a box containing a vertical line labeled N_2 with two vertices labeled $X_{\ell 2}$. From the top vertex, a solid line labeled ℓ^+ exits to the right. From the bottom vertex, a solid line labeled ℓ^- exits to the right. A dashed line labeled H^+ exits from the top of the box.

Then CPT $\Rightarrow \Gamma(N_1 \rightarrow \ell^+ H^-) =$

$$\left[\text{Diagram 3} + \text{Diagram 4} \right]^2$$

Diagram 3: A solid line labeled N_1 enters from the left and splits at a vertex labeled $X_{\ell 1}^*$. One outgoing line is dashed and labeled H^- , the other is solid and labeled ℓ^+ .

Diagram 4: A solid line labeled N_1 enters from the left and splits at a vertex labeled $X_{\ell 1}$. One outgoing line is solid and labeled ℓ^- , the other is dashed and labeled H^+ . This dashed line then enters a box containing a vertical line labeled N_2 with two vertices labeled $X_{\ell 2}^*$. From the top vertex, a solid line labeled ℓ^- exits to the right. From the bottom vertex, a solid line labeled ℓ^+ exits to the right. A dashed line labeled H^- exits from the top of the box.

[4] X is a U matrix for heavy neutral leptons.

$\Gamma(N_i \rightarrow \ell^- H^+)$ and $\Gamma(N_i \rightarrow \ell^+ H^-)$ can differ if X breaks CP by being complex.

$\Gamma(N_i \rightarrow \ell^- H^+) - \Gamma(N_i \rightarrow \ell^+ H^-)$ depends on —
 $\arg[X_{e1}^2 / X_{e2}^2]$.

In

$$\Gamma(\beta\beta_{0\nu}) \propto M_{\beta\beta}^2 = \left| \sum_m M_{\nu m} U_{em}^2 \right|^2,$$

CP depends on —

$$\arg[U_{e1}^2 / U_{e2}^2].$$

In both cases, CP is coming from Majorana CP phases.

$\Gamma(\beta\beta_{0\nu})$ violates CP

$\Rightarrow$ { Nature contains the kind of ~~CP~~
phases that can generate $\Delta L \neq 0$

(L.N. Chang, J. Ellis, Gavela,
B.K., Langacker, Murayama)

The Lepton-Quark Connection

Similarities and differences—

- Both have masses
- Both mix
- The mixing matrices U_{lepton} and V_{quark} are quite different in character
- $\mathcal{CP}$ can come from phases in both mixing matrices

But are leptonic mass, mixing, and $\mathcal{CP}$ related to quark mass, mixing, and $\mathcal{CP}$?

2] G(rand) U(nified) M(odels), which make the quarks brothers of leptons, suggest that they **are** related.

In a GUT-based see-saw model of ν mass, one naturally expects for the heaviest ν ,

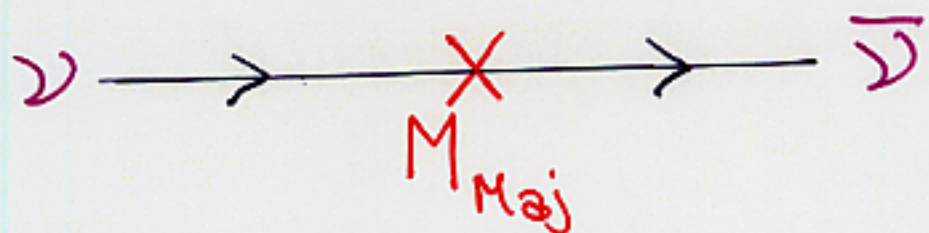
$$M_\nu \sim \frac{M_{\text{top}}^2}{M_{\text{GUT}}} \sim 0.003 \text{ eV}.$$

Atmospheric ν oscillation $\Rightarrow$

$$M_\nu \gtrsim \sqrt{\Delta M_{\text{atm}}^2} \simeq 0.05 \text{ eV}.$$

6] Quark-Lepton connections are highly model dependent:

Neutrinos can have Majorana masses



These have no quark analogue.

In nature, are there quark effects closely related to neutrino effects?

Quite possibly!

(Chang, Masiero, Murayama)

1 In $SU(5)$ GUTS, for each generation, one has a family like—

$$(\nu_\tau, \tau^-, b^c, b^c, b^c)_L.$$

This relates $\nu_{\tau L}$ to b^c_L , or to b_R .

Atmospheric ν oscillation $\Rightarrow$

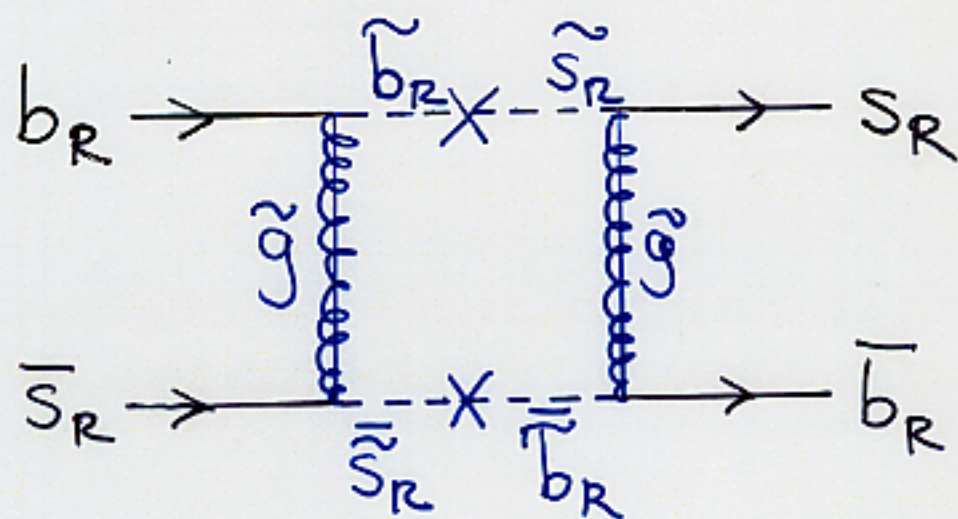
$\sim$ Maximal $\nu_{\mu L} - \nu_{\tau L}$ mixing.

$\therefore \sim$ Maximal $s_R - b_R$ mixing.

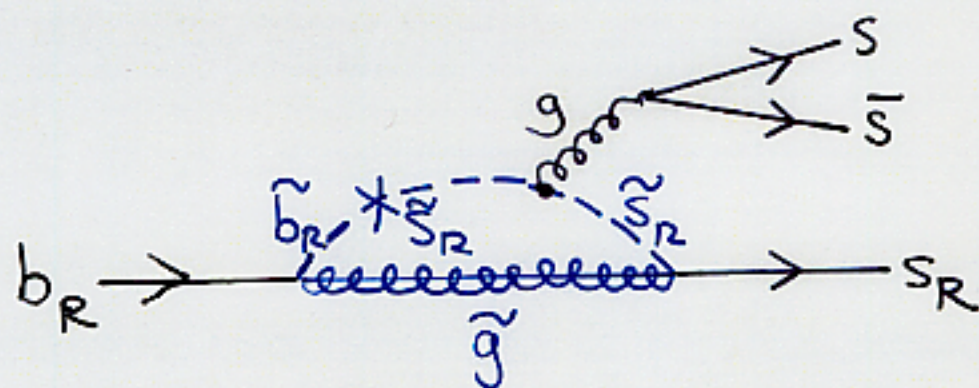
Right-handed quarks don't couple to the W boson.

But in a SUSY-GUT, $s_R - b_R$ mixing can be accompanied by $\underbrace{\tilde{s}_R - \tilde{b}_R}_{\text{squarks}}$ mixing.

8] Big squark mixing leads to-



$\Rightarrow$ Potentially big change in the Size and Phase of $B_s - \bar{B}_s$ mixing



$\Rightarrow$ Potentially significant contribution to Penguin Processes like $B_d \rightarrow n' K_s$

Consequences

- Penguin and non-penguin probes of " β " will give different answers
- B_s and non- B_s probes of " γ " will give different answers

** The study of B mixing and CP will reveal that physics beyond the Standard Model is present

Conclusion

CP has become a very exciting field.

Both the leptons and the quarks are important parts of the picture.

CP in ν oscillation would show that CP is not a peculiarity of quarks.

Baryogenesis may have come from leptonic CP .

Mixing and CP among the quarks and among the leptons might be related in a deep way.
